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<b>To:</b>	Ms. Debra Vega	<b>From:</b>	William C. Roch
<b>Fax:</b>	703-308-7953	<b>Pages:</b>	14 including fax cover sheet
<b>Phone:</b>		<b>Date:</b>	March 12, 2004
<b>Re:</b>	Helko H. Ludwig, et al. Serial No.: 09/433,427 Our Docket: 12990	<b>CC:</b>	
<input type="checkbox"/> <b>Urgent</b> <input type="checkbox"/> <b>For Review</b> <input type="checkbox"/> <b>Please Comment</b> <input type="checkbox"/> <b>Please Reply</b> <input type="checkbox"/> <b>Please Recycle</b>			

As per your telephone call of yesterday, March 11, 2004 please see attached.

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.  
12990In Re Application Of: **Heiko H. Ludwig, et al.**Serial No.  
09/433,427Filing Date  
November 4, 1999Examiner  
Kevin S. PartonGroup Art Unit  
2153Title: **METHOD OF INTERCONNECTING COMPUTERS AND COMPUTER NETWORK**Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.  
12990

In Re Application: Heiko H. Ludwig, et al.

Serial No.

09/433,427

Filing Date

November 4, 1999

Examiner

Kevin S. Parton

Group Art Unit

2153

**METHOD OF INTERCONNECTING COMPUTERS AND COMPUTER NETWORK****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0510/IBM as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$180.00
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and fee is being deposited August 29, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.	
_____ Signature of Person Mailing Correspondence	
Michelle Mustafa	
_____ Typed or Printed Name of Person Mailing Certificate	

\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: August 29, 2002

William C. Roch

Registration No.: 24,972

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WCR:ko

cc:

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****Applicants:** Heiko H. Ludwig, et al.**Examiner:** Kevin S. Parton**Serial No.:** 09/433,427**Art Unit:** 2153**Filed:** November 4, 1999**Docket:** 12990**For:** METHOD OF INTERCONNECTING  
COMPUTERS AND COMPUTER NETWORK.**Dated:** August 29, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT****Sir:**

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. European Patent EP 0793 184 A2, published September 3, 1997;
2. U.S. Patent No. 5,774,661, dated June 30, 1998;
3. Workflow Management Coalition, (1996) "Workflow Management Coalition Workflow Standard-Interoperability",  
<http://www.aiim.org/wfmc/mainframe.htm>: 13-14;

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on August 29, 2002.

**Dated:** August 29, 2002

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**Mishelle Mustafa**

4. E.M. Verharen, et al., (1998) "Introducing Contracting In Distributed Transactional Workflows", Proceedings of the thirty-first Hawaii International Conference on System Sciences (CAT. No. 98TB100216), Kohala Coast: 324-333 Vol. 7;
5. A. Dan, et al., (1998), "The Coyote Project: Framework for Multi-party E-Commerce", Research and Advanced Technology for Digital Libraries. Second European Conference, ECDL'98. Proceedings, Research and Advanced Technology for Digital Libraries: 873-889;
6. Yu Lei, et al., (1998), "Supporting distributed corporate planning through new coordination technologies", Proceedings Ninth International Workshop on Database and Expert Systems Applications: 559-565.

The references were cited in a Search Report received from the European Patent Office, dated June 11, 1999. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R§1.97(c). Applicants submit authorize the \$180.00 official fee pursuant to § 1.17(p) to be charged to Deposit Acct. No. 50-0510/IBM.

Respectfully submitted,



William C. Roch  
Registration No. 24,972

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## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

129

09/433,427

Helko H. Ludwig, et al.

FILING

November 4, 1999

GROUP

2153

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 5,774,661	6/30/98				

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP 0 793 184 A2	9/3/97					

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Workflow Management Coalition, (1996) "Workflow Management Coalition Workflow Standard-Interoperability",  
<http://www.aiim.org/wfmc/mainframe.htm>: 13-14;

E.M. Verbaren, et al., (1998) "Introducing Contracting in Distributed Transactional Workflows", Proceedings of the  
 thirty-first Hawaii International Conference on System Sciences (CAT. No. 98TB100216), Kohala Coast: 324-333 Vol. 7;

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

